

HE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant:

Glenn Noronha et al.

Examiner:

To Be Assigned

Serial No.:

10/075,415

Group Art Unit:

1626

Filed:

February 14, 2002

Docket:

G&C 130.30-US-U2

Title:

POLYMERS FUNCTIONALIZED WITH FLUORESCENT BORONATE

MOTIFS AND METHODS FOR MAKING THEM

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 2023 on Uncember 10, 2002.

Name: William J. Wood

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045 (310) 641-8797

Date: December 10, 2002

WJW/kmf

William J. Wood

Reg. No.: 42,236



Sheet 1 of 5

Form 1449*

INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION

Docket Number: G&C 130.30-US-U2

Applicant: Glenn Noronha et al.

Filing Date: February 14, 2002

Group Art Unit: 1626

AMINER	-		U.S. PATENT DOCUMENT	rs .	, -	
KAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	4,401,122	08/30/83	Clark, Jr.			
	4,428,366	01/31/84	Findl et al.			
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	5,182,214	01/26/93	Kessler et al.			

EXAMINER:	DATE CONSIDERED:		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in			
conformance and not considered. Include copy of this form for next communication to the Applicant			



Form 1449* Docket Number: G&C 130.30-US-U2 Application Number: 10/075,415 INFORMATION DISCLOSURE STATEMENT Applicant: Glenn Noronha et al. Filing Date: February 14, 2002 Group Art Unit: 1626

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INFORMATION DISCLOSURE STATEMENT	Applicant: Glenn Noronha et al.	
IN AN APPLICATION	Filing Date: February 14, 2002	Group Art Unit: 1626

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^{*}Substitute Disclosure Statement Form (PTO-1449)

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INFORMATION DISCLOSURE STATEMENT	Applicant: Glenn Noronha et al.		
IN AN APPLICATION	Filing Date: February 14, 2002	Group Art Unit: 1626	

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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	ATION
						YES	NO
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Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION Filing Date: February 14, 2002 Group Art Unit: 1626 Application Number: 10/075,415 Applicant: Glenn Noronha et al. Filing Date: February 14, 2002 Group Art Unit: 1626

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